

# DEMOCRATIC SOCIALIST REPUBLIC OF SRI LANKA MERCHANT SHIPPING SECRETARIAT MINISTRY OF PORTS AND SHIPPING

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#### MSN 07/2016 - Revised

27th May 2016

Notice to All Ship-Owners, Operators, Training Institutes, Masters of Sri Lankan Flagged Ships, and Recognized Organizations.

#### **Flag State Inspection**

#### 1. Application

This procedure applies to all Sri Lankan flagged vessels engaged in International trade.

#### 2. Objectives

- 2.1 Flag State Inspection program (FSI) is intended to assist owners and operators with maintaining compliance with applicable international and Sri Lankan regulations with regard to safety, security and environmental protection.
- 2.2 Merchant Shipping Secretariat (MSS) committed to have ships under Sri Lankan flag with less port state control detentions and is fully supportive of the objective of the Port State control Process (PSC) in eliminating sub-standard shipping when applied in a fair and equitable manner.
- 2.3 Flag State Inspections are conducted to ensure that each vessel:
  - .1 Has on board all required statutory/class certificates with valid dates.
  - .2 Is properly manned and equipped for her intended trade.
  - .3 Has a crew with proper credentials and versed in the correct procedures during emergencies.
  - .4 Complies with minimum standards as stipulated in all IMO and ILO conventions.
  - .5 Reflects acceptable conditions of safety in regards to all spaces and gear.

- 2.4 In addition, the program has been also designed to provide feedback information which may be used:
  - .1 in evaluating the work carried out by the authorized recognized organizations(RO) who act on behalf of the Government of Sri Lanka on the survey and certification of Sri Lankan ships in accordance with the provisions of the International maritime conventions to which Sri Lanka is a contracting party;
  - .2 to identify areas which may warrant special attention and regulation.
- 2.5 This program encompasses a control activity of appropriate aspects of the vessels certification and documentation together with a general examination.
- 2.6 Through this program, the MSS monitors the performance of the Sri Lankan fleet on regular basis.
- 2.7 Determining compliance with all applicable aspects of the IMO conventions is at the discretion of the Flag State Surveyor (FSS), giving due regard to the type of the vessel being inspected, the nature of the cargoes carried and the state of ship found during the various stages of the inspection.

#### 3. Types of Inspections

#### 3.1 Pre-Registration Inspection

Pre-Registration Inspections shall be carried out on all types of vessels of 20 years of age and above that have applied for registration. This inspection is carried out prior to acceptance of a vessel for registration and is focused on assessing the general condition of the vessel and its ability to meet the Administration's standards of quality and compliance.

#### 3.2 Flag State Inspections

An inspection conducted within 5year period to verify a vessel is being maintained in compliance with all applicable national and international regulations.

#### 3.3 Special Safety Inspections

In addition to the above, special safety inspections may be required by the MSS;

- (a) Prior to resuming service at the end of an official lay-up period in excess of six (6) months or
- (c) Following any substantial structural alteration

#### 3.4 Follow-up Inspection

An inspection that is conducted to follow-up on a previously issued deficiency or item that may require a physical inspection or check on the corrective action.

#### 4. Scheduling

4.1 The ship owners/operators and ship Masters are required to make their vessels available for inspection by the MSS.

Windows for vessel inspections are as follows;

- 4.2 Flag State Inspections: The inspection date is set 5 yearly from the date that the initial inspection was completed. The window for inspection is + or one (3) month from this date.
- 4.3 Vessels not inspected by the due date will be considered as "overdue" and follow-up procedures will be initiated by the MSS. This may include an additional DOC and/or SMS audit.
- 4.4 Vessels continuously engaged in foreign trade and do not visit Sri Lankan Ports during 5 year period shall be subjected to Flag State Inspection to the discretion of the Director General of Merchant Shipping.

#### 5. FSI reports/Deficiencies/Observations

- 5.1 Before boarding Flag State surveyors, Master shall complete the Flag State Inspection Supplement form SR/FO/20 and ensure all Ship Documents, Publications and Manuals, and Seafarers' Certificates are gathered, organized, and displayed for the Flag State Surveyor to expedite the inspection.
- 5.2 Attending surveyors will issue a FSI report to the Master of the vessel which shall be retained on board. Masters and management companies shall ensure that the deficiencies are closed to the satisfaction of the MSS within 30 days from the attendance on board and major safety deficiencies shall be rectified before departure. Corrective actions shall be reported to the MSS.

#### 6. Port State Control Detention of a Sri Lankan Flagged Ship

#### 6.1 Notification

- 6.1.1 The company is required to immediately notify the following organizations by means of a facsimile or an email when a Sri Lankan ship is detained by a PSC.
  - The MSS
  - The issuing RO of the affected certificate, if applicable
- 6.1.2 In addition the company may be required to invite the RO on board to assist in clearing up the deficiencies, unless otherwise advised by the MSS.
- 6.1.3 The initial report to the MSS should include:
  - .1 A full copy of the PSC report (form A and B).
  - .2 A copy of the detention notice
  - .3 Confirmation of notification to the RO as applicable
  - .4 Advice of actions taken or planned to rectify all deficiencies at the earliest opportunity

#### 6.2 Process after a detention

- 6.2.1 Reports and correspondence related to the vessel's PSC and FSI performance in the previous 24 months will be reviewed by the MSS
- 6.2.2 The company shall perform a root cause analysis within 30 days of the detention and take the appropriate corrective actions to prevent similar deficiencies arising in the future. The relevant documents shall be submitted to the MSS after the completion of the analysis.
- 6.2.3 The imposition of a flag state detention will have the same effect, for the purpose of this process as a PSC detention.
- 6.2.4 In addition to the requirement specified below, further additional surveys, flag state inspections, ISM audits and ISPS audits may be required depending on the nature of the detainable deficiencies and the ship's inspection history.
- 6.2.5 The MSS will determine the scope and extent of additional inspections, surveys or audits of shipboard and shore based safety management systems of a company when a significant proportion of the company's fleet has been justifiably detained by PSC.
- 6.2.6 The MSS may appoint and send Flag state surveyors, auditors and observers as considered to be appropriate to participate in any of the above surveys, audits or inspections, at owners' expense.

#### 6.3 Evaluating of detentions

#### 6.3.1 First detention in a 24 month period

One or more of the following shall be required by the MSS prior to departure from the port of detention depending on the number and nature of the deficiencies:

- Additional external ISM audit or
- Additional ISPS audit

#### 6.3.2 Second detention in a 24 month period

If a ship has, in the opinion of the MSS, been justifiably detained twice within a period of 24 months the following shall be completed at the next convenient port.

- An additional ISM SMC audit will be required to ascertain the effectiveness of the Safety Management System on board;
- An additional ISM DOC audit will be required not later than 30 days from the date of the detention;
- If the date of detention falls within the +-3month window period for annual statutory surveys, such surveys shall be conducted at the next convenient port.

#### 6.3.3 Third detention in a 24 month period

If a ship has, in the opinion of the MSS, been justifiably detained three times within a period of 24 months, all statutory certificates will be suspended.

In order to reinstate the suspended statutory certification, the following will be required.

- FSI by the MSS
- An additional ISM/SMC audit;
- An additional ISM DOC audit will be required not later than 30 days from the date of the detention;

#### 6.3.4 Fourth detention in a 24 month period

If a ship, in the opinion of the MSS, is justifiably detained for a fourth time within a period of 24 months, the ship will be deleted from the Sri Lankan Register.

The DOC of the Company will be re-examined and further surveys, inspections and audits of the Company and/or its ships may be required for re-admitting the vessel.

#### 7. Audits by Recognized Organizations

- 7.1 Recognized Organizations, when conducting ISM audits, should take into account all deficiencies found in the course of the PSC inspections.
- 7.2 The audit should identify any specific failure of the Safety Management System which may be attributed as a causal factor to the deficiencies arising. The audit should also ensure that an appropriate corrective action plan is in place, which is to be implemented and verified within the shortest practicable time frame.

#### 8. Performance Report

- 8.1 The goal of the MSS is to have a fleet with a good safety record which causes minimum or nil damage to the marine environment.
- 8.2 Self-Assessment of Flag State Performance as per the Resolution A.912 (22) will be carried out annually to assess the performance.
- 8.3 In addition to 8.2, the MSS will publish an annual report containing a full analysis of Sri Lankan flagged ships' performance in relation to Flag State Inspection Program.

#### 9. Record Keeping Of All Audits, Surveys and Inspections

The Merchant Shipping Secretariat shall maintain the following records;

- All audits, statutory surveys conducted by RO on behalf of the MSS
- FSI reports
- PSC detention reports

#### 10. Attachments

Attachments hereto are provided as information for owners and Masters, to facilitate the conduct of Flag State Inspections.

- Annex -1, Guidance For Masters
- FSI supplement for Masters of Vessels SR/FO/20
- Guidance on the closure of deficiencies- SR/FO/21

Issued on 27th May 2016

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# Merchant Shipping Secretariat

#### Safety Unit

Flag State Inspection Program

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Date of 1st	2015-11-05
issue	
Issued by	MR
Approved by	DGMS
Revised status	00
Revised date	

#### NNEX I

#### **GUIDANCE FOR MASTERS**

- 1. Documents, certificates and publications must be readily available, preferably in a central location, for examination and verification by the Flag state surveyor.
- 2. Navigation records, publications, charts, log books, Oil Record Book, Cargo Record Book, training records and all similar material must be available for inspection, preferably in one (1) location, such as the bridge.
- 3. Publications obviously are not applicable to a vessel need not be produced; e.g., dry cargo vessels need not obtain tanker safety publications.
- 4. The official Minimum Safe Manning Certificate and the original Sri Lankan Certificate of Competence or endorsement of each officer serving on board and particulars of any foreign certificates held must be readily available as such data may be required by the Flag state surveyor. In the case of passenger ships, the certificates of all survival craft/rescue boat crewmen in particular must be available to the Inspector. In all cases, the Surveyor must be given a copy of the current crew list and, if possible, the ship's emergency station bill.
- 5. Flag state surveyor has the authority, and has been instructed accordingly, to make a spot check of life saving, firefighting and general safety conditions covered by the SOLAS. The Master will be instructed to call in the Classification Society for examination and/or verification as may be necessary to properly establish the conditions as they exist.
- 6. The Master should have lifeboats uncovered and the vessel's firefighting equipment and appliances as maintained in their normal stowed positions ready for the Flag state surveyor's examination. Sufficient crew should be on board and be prepared to conduct such emergency drills as circumstances may dictate and permit.
- 7. The pilot transfer equipment should be accessible and in conformance with Regulation 23 of Chapter V of SOLAS.
- 8. To facilitate the efficient conduct of safety inspections, a ship's senior deck and engineering officers should be available to accompany the Flag state surveyor.

## **MSS**

#### Merchant Shipping Secretariat

#### **Safety Unit**

#### FLAG STATE INSPECTION SUPPLEMENT

Document no	SR/FO/20
Date of 1st issue	2015-06-09
Issued by	MR
Approved by	DGMS
Revised status	00
Revised date	

(Supplement to be completed by Master prior to arrival of Flag State Surveyor)

This form is to be completed by the ship's crew under the direction of the Master prior to the arrival of the Flag State Surveyor. The appropriate box should be checked for all items and remarks entered where applicable as indicated. Vessel Masters are advised to use the section on publications and manuals to complete a quality review of what they have onboard and order any applicable publications that may be missing or out of date. Following the completion of this check-off sheet, ensure all Ship Documents, Publications and Manuals, and Seafarers' Certificates are gathered, organized, and displayed for the Flag State Surveyor to expedite the inspection.

Name of Vessel			Name/Address/Phone/Email of DPA (Info as listed & available on vessel)							
Year Built	Build Location (Port, Country) Shipyard (Name of Yard)							of Yard)		
Vessel Type Class RO/RSO			IMO Number Official Number			Gross Tons				
Master (Print Name)		1	Name of Person Completing this Form					Position of Person Completing this Form		
PART A: SHIP DOCUMENTS  Original certificates onboard? Required annual, intermediate or surveys completed?			r periodic	Yes	No	N/A		piry ites	Issued By	Remarks: Enter latest endorsement date if applicable; Type of Cert. (F=Full, I=Interim, S=Short term)
	International Tonnage Certificate									,
	Passenger Ship Safety Certificate								-	
	Cargo Ship Safety Construction Certificate				Ш				4	
<ol><li>Cargo Ship Safe</li></ol>	. Cargo Ship Safety Radio Certificate									
	International Load Line Certificate								1	
	International Oil Pollution Prevention Certificate								-	
	International Sewage Pollution Prevention Certificate								į.	
	International Air Pollution Prevention Certificate									
	Engine International Air Pollution Prevention Certificate									
9(b International En										
	International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk									
	•									
12. Certificate of Fit										
	<del>-  </del>									
16. MLC – 2006 Cer	<u> </u>									
17. International Shi	International Ship Security Certificate								2	
18. Continuous Syno	-								-	

19.	Civil Liability Convention				
20.	Civil Liability Bunker Convention				
21.	International Anti-Fouling System Certificate				
22.	Radio Station License		П		License No:
23.	Conformance Test Report LRIT	ΙĒ			
24.	Certificate of Registry Note: Only Provisional has expiry date				
	RT B: PUBLICATIONS AND MANUALS				Remarks:
	he following publications onboard?	Yes	No	N/A	(Enter latest Edition onboard when applicable.)
	-		_		(Enter latest Edition onboard when appreciate.)
1.	Articles of Agreement	<b>├</b>			
2.	Oil Record Book - Machinery	<b>├</b>			
3.	Oil Record Book - Cargo	1 4	Ц	닏	
4.	Garbage Management Plan	ĻШ	Ш	<del>⊢</del> □	
5.	Garbage Record Book	<del> </del> □		$\Box$	
6.	Cargo Securing Manual				
7.	Cargo Gear Record Book				
8.	Ballast Management Plan				
9.	Intact Stability Booklet				
10.	Damage Control Booklets				
11.	Bulk Carrier Booklet / Grain Loading Manual				
12.	Shipboard Oil Pollution Emergency Plan or Shipboard Marine		_	<u></u>	
	Pollution Emergency Plan				
13.	MARPOL Annex VI				
	∑ Low Sulphur FO Changeover Completion Record maintained?				
	∑ Ship Energy Efficiency Management Plan (SEEMP) available?				
14.	SOLAS				
15.	MARPOL				
16.	STCW				
17.	IMDG Code	П			
_	IMSBC Code		Ī		
19.	Code of Safe Practices for Timber Deck Cargoes	l Fi	n		
20.	International Code of Signals	ΙĦ	Ħ		
21.	IAMSAR Manual	1 =	П		
22.	International Safety Guide for Oil Tankers & Terminals	ΙĦ		Ħ	
	ICS Tanker Safety Guide (Chemicals)	—		_	
24.	ICS Tanker Safety Guide (Liquefied Gas)				
25.	Medical Publications:				
	Σ Medical Guide		40		
	Σ Medical Logbook				
	∑ Accident Prevention Code or equivalent				
26.	Manuals for onboard maintenance of life saving appliances?				1
27.	IBC Code for Chemical Tankers (built after 1 July 1988)		Ħ	1 1	
28.	BCH Code for Chemical Tankers (built before 1 July 1986)	H			
29	Emergency Towing Booklet (SOLAS Reg. II 1/3-4.2.3)	HĪ			
29	Emergency Towning Bookiet (SOLAS Reg. II 1/3-4.2.5)				
DAT					
	RT C: Equipment Service Certificates & Test Dates	Yes	No	N/A	Remarks:
Are	he original certificates onboard & test dates still valid?				(Enter Applicable Dates in this column)
1.	Emergency Stops for Pumps (last tested?)		Ш		
2.	VDR / S-VDR Test Certificate	ΙШ			
3.	EPIRB Test Certificate				
4.	Life Boat Service Certificates				
	∑ Annual Certificates				
	∑ 5 year – Releasing Gear/System				
5.	Life Raft Service Certificates				
6.	Immersion Suits – 3 years				
7.	MOB Markers / Smokes (Bridge wings) – 3 years				
8.	Pyrotechnics - Flares				
9.	Pyrotechnics – Parachute Flares				
10.	Pyrotechnics – Line Throwing				
11.	Life Jacket Lights – Batteries within expiry date or renewed yearly				
					•

12.	Firefighting Equipment Service Certificates							
	∑ Portable & Semi-Portable (Serviced annually)							
1 1	∑ Fixed			П				
1	Σ EEBDs		IП	Ħ				
	∑ Foam – Fixed Installations		H	H		Date last analyzed:		
	$\sum$ Foam analyzed within required intervals	2 (2 years for new form		⊔		Date last allaryzed:		
	concentrates stored onboard; 1 year there	(5 years for new foam						
		earter)			ļ.,			
13.	Hydrostatic Tests							
	∑ Fixed Systems							
	o Halon – 20 years							
	o CO2 -10 years (MSC.1/Circ.1318, sec	tion 6.1)						
	o Gas (other than CO2)-10 years (MSC./	(Circ. 1432, section 10.1)						
	o Dry chemical-10 years (MSC.1/Circ.14	432, section 10.3)						
	o Aerosol-10 years (MSC.1/Circ.1432,	section 10.4)						
	o Water mist, water spray and sprinkler							
	(MSC.1/Circ.1432, section 10.2)	, ,						
	∑ Portable & Semi-Portable – 10 years		П	П				
	∑ SCBA bottles – 5 years			H				
					$\vdash$			
	$\sum$ Air tanks on enclosed lifeboats – 5 years			Ш				
PAF	RT D: SEAFARERS' CERTIFICATES		Yes	No	N/A	Re	emarks	
1.	All CC 1 11 d 1C d CC	1:10:	3					
1.	All officers hold national Certificates of Co- Lankan (SL) Endorsements and foreign CO							
	Lankan (SL) Endorsements and foreign CO	C S.						
	All	-f Di-ahana (Mada			0. 20			
2.	All crewmembers hold valid SL Certificate crew list accordingly and attach to this report							
	crew list accordingly and attach to this repo	n.)						
2			_					
3.	Number of ratings holding valid watch keep	ing qualifications						
4.	GMDSS Electronic Operator or two deck of	ficers with GMDSS						
	General Operator Certificates?							
	PART E: MLC-2006			No	N/A	Remarks		
1.	All crew hold valid Medical Certificates as	attastad by the Master in	Yes	110	14/21	Remarks		
1.	compliance with MLC, 2006.	attested by the Master III	l —					
2.	Records of Hours of Work and Rest being n	paintained and in	Ш		Ш			
۷.	compliance with MLC, 2006	ianitanica and in		_				
3	Complaint File is being maintained on-boar	d and complaints are being	Ш	ш	Щ			
3.	adequately addressed in a timely manner in co		l —					
	adequately addressed in a timely mainer in es	p		ш				
Sign	ature of Person Completing this Form	Date	Signa	ture of	f Master		Date	
Sign	ature of reison completing this roth	Date	Signa	ture or	Wiastei		Date	
Defic	ciencies, Observations, or Comments (This sec	tion to be completed by the Flag	State St	urvevoi	r after co	onducting a random audit	of the above items)	
Den	cioneres, Observations, or Comments (1111) sec	tion to be completed by the 1 tag	Sittle St	ai veyoi	ujici co	manching a random duali	of the above acms)	
Flag State Surveyor (Print Name) Signature of Flag State Sur							Date	
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### **MSS**

# Merchant Shipping Secretariat Sri Lanka

Safety Unit

### GUIDANCE ON THE CLOSURE OF DEFICIENCIES

Document no	SR/FO/21
Date of 1st issue	2015-10-13
Issued by	MR
Approved by	DGMS
Revised status	00
Revised date	

Date: IMO No :
Port:
Dear Sir/Madam,
During the Flag State Inspection conducted by our Flag State Surveyor one or more deficiencies were noted and detailed in the Inspection report deficiency list.
Kindly ensure that the deficiencies are closed to the satisfaction of the administration within thirty (30) days from the date of attendance unless otherwise stated in the report of deficiencies.
Sincerely,
Name /SignedFlag State Surveyor
For acknowledgement by the Captain or representative:
Date:
Name:
Signature: